

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 081356/0111

#5
4-11-98

In re Patent Application of

Susumu KAJIWARA et al.

Serial No. 08/737,319

Group Art Unit: 1808

Filed: November 12, 1996 Examiner:

FOR: A DNA CHAIN USEFUL FOR INCREASING PRODUCTION OF
CAROTENOIDS



INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.56

RECEIVED

MAR 26 1998

MAILED 10 1998
SERIAL 081356

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

Serial No. 08/737,319

CONCISE EXPLANATION OF
RELEVANCE OF EACH DOCUMENT

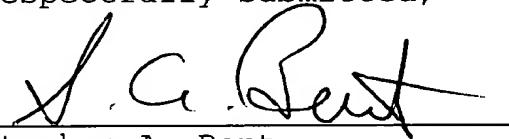
The foregoing documents came to Applicants attention during a search of the corresponding European application. A copy of the European search report setting forth the portion of each reference considered relevant by the examiner is attached.

STATEMENT

The undersigned hereby certifies in accordance with 37 CFR 1.97(e) (1) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

Applicants respectfully request that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialled copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,



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March 27, 1998

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